

# CLASSIFYING AMENABLE OPERATOR ALGEBRAS

JOINT WORK WITH J. GABE, C. SCHAFHAUSER,  
A. TIKUISIS, AND S. WHITE

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José Carrión  
TCU

# INTRODUCTION

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## SOME MOTIVATION

Consider

$$M_2(\mathbb{C}) \subset M_4(\mathbb{C}) \subset M_8(\mathbb{C}) \subset \cdots \subset \bigcup M_{2^n}(\mathbb{C})$$

$$a \mapsto \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}$$

Think of the elements of  $\bigcup M_{2^n}(\mathbb{C})$  as “infinite by infinite matrices” that act on the vector space  $\ell^2(\mathbb{N})$ .

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These are examples of *operator algebras*. This talk is about classifying them: how to tell them apart.

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Two very early examples of classification results:

**Murray-von Neumann, 1943**

$$\overline{\bigcup M_{2^n}(\mathbb{C})}^{\text{WOT}} \cong \overline{\bigcup M_{3^n}(\mathbb{C})}^{\text{WOT}}$$



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How to distinguish these last two? Associate a **group** with such algebras that is invariant under isomorphism, called  $K_0(-)$ . It turns out that

$$K_0\left(\overline{\bigcup M_{p^n}(\mathbb{C})}^{\|\cdot\|}\right) = \left\{ \frac{m}{p^n} : m, n \in \mathbb{Z} \right\}.$$

## Example: $\mathcal{B}(\mathcal{H})$ , bounded operators on a Hilbert space

- algebraic structure:  $*$ -algebra,  $\langle T^*v, w \rangle = \langle v, Tw \rangle$
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## $C^*$ -algebras

- $A \subset \mathcal{B}(\mathcal{H})$ , closed in  $\|\cdot\|$
- $A$  abelian  $\rightsquigarrow C(X)$
- “Topological flavor”

## von Neumann algebras

- $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ , closed in wot.
- $\mathcal{M}$  abelian  $\rightsquigarrow L^\infty(X, \mu)$
- “Measure theoretic flavor”

# EXAMPLE: $C^*(\mathbb{Z})$

Can represent  $\mathbb{Z}$  "concretely" as operators on  $\ell^2(\mathbb{Z})$ ,  $n \mapsto \lambda_n$ ;  
 $\lambda_n$  shifts entries of vector by  $n$ .

$$\underbrace{\begin{pmatrix} \ddots & & & & \\ & \ddots & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & \ddots \end{pmatrix}}_{\lambda_1} \underbrace{\begin{bmatrix} \vdots \\ \vdots \\ \vdots \\ 0 \\ 1 \\ 0 \\ \vdots \end{bmatrix}}_{\delta_k} = \underbrace{\begin{bmatrix} \vdots \\ \vdots \\ \vdots \\ 0 \\ 0 \\ 1 \\ \vdots \end{bmatrix}}_{\delta_{k+1}}$$

$C^*_\lambda(\mathbb{Z}) := \|\cdot\|$ -closure of  $*$ -alg. generated by the  $\lambda_n$ 's

## MORE GENERAL EXAMPLE: GROUP ALGEBRAS

- $\Gamma$ : (discrete) group. Get Hilbert space  $\ell^2(\Gamma)$  of square summable functions  $\Gamma \rightarrow \mathbb{C}$  with basis  $\{\delta_\gamma\}_{\gamma \in \Gamma}$  ( $\delta_\gamma$ : indicator function of  $\{\gamma\}$ ).



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## EXAMPLE: THE IRRATIONAL ROTATION $C^*$ -ALGEBRA $A_\theta$

- Fix  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $\varphi: \mathbb{T} \rightarrow \mathbb{T}$  be rotation by  $2\pi\theta$ .
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- $A_\theta$  is built using friendly (even abelian) objects. It’s *amenable*.

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- **group  $\Gamma$  acts on  $X$**  (e.g compact metric space) by homeomorphisms:  $\Gamma \overset{\alpha}{\curvearrowright} X$ .
- Get induced action of  $\Gamma$  on  $C(X)$ :  $\gamma f = f \circ \alpha_\gamma^{-1}$ .
- Roughly speaking, can combine  $C_\lambda^*(\Gamma)$  and  $C(X)$  and form the *crossed product*  $C(X) \rtimes \Gamma$ .
- Construction is similar to semidirect product of groups:  
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**FACTORS, FINITE DIMENSIONAL  
APPROXIMATIONS, AMENABILITY:  
CLASSIFYING VN ALGEBRAS**

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## APPROXIMATELY FINITE DIMENSIONAL vN ALGEBRAS

Def: Approximately finite dimensional (AFD) vN algebra  $M$

Contains finite dim'l subalgebras  $F_1 \subset F_2 \subset \dots \subset M$  with WOT-dense union.

(Note: finite dim'l  $\Leftrightarrow \bigoplus_{k=1}^N M_{n(k)}(\mathbb{C}).$ )

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One issue: exhibiting internal finite dim'l approximations verifying AFD condition can be difficult.

Would like abstract condition, avoiding concrete internal structural requirements.

## Group case

A (discrete) group  $\Gamma$  is *amenable* if it admits a finitely additive left-invariant probability measure on its subsets — a “mean”.

- Includes finite groups, abelian groups
- Closed under direct limits, taking quotients, subgroups, extensions
- Important non-example: free group  $\mathbb{F}_n (n \geq 2)$ . Related to Banach-Tarski paradox.

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Can define an analog for  $C^*$ -algebras and vN algebras. It turns out (with quite some effort) that:

$$\Gamma \text{ amenable} \Leftrightarrow C_\lambda^*(\Gamma) \text{ amenable} \Leftrightarrow \text{vN}(\Gamma) \text{ amenable.}$$

Connes' theorem, 1976

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### Theorem (Connes, Haagerup, Murray–von Neumann)

There is a unique amenable factor for each of the types  $I_n$  ( $n \in \mathbb{N}$ ),  $I_\infty$ ,  $II_1$ ,  $II_\infty$ ,  $III_\lambda$  ( $0 < \lambda \leq 1$ ), and the type  $III_0$  factors correspond to certain ergodic flows.

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Led to further breakthroughs in related areas, e.g.:  
all free ergodic probability measure preserving actions of countable amenable groups are orbit equivalent (Connes–Feldman–Weiss).

# CLASSIFYING $C^*$ -ALGEBRAS

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### K-theory for $C^*$ -algebras

Extension of Atiyah and Hirzebruch's topological K-theory, which concerned itself with the study of vector bundles using algebraic means.

E.g.: When  $A = C(X)$ , have  $K_0(A) \otimes \mathbb{Q} \cong \bigoplus H^{2n}(X; \mathbb{Q})$ .

The AF condition is much more restrictive on  $C^*$ -algebras than on vN algebras. Useful comparison:

- $L^\infty(X, \mu)$ : AFD vN algebra
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### Elliott's classification program (ICM, 1994)

Classify and understand the structure of **simple amenable  $C^*$ -algebras**, in the spirit of Connes, Haagerup.



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- Important early example: every irrational rotation algebra  $A_\theta$  is proved to be internally approximated by  $M_n(C(\mathbb{T}))$ .
- The *purely infinite* case, the analog of type III vN algebras, settled by Kirchberg and Phillips in late 90s.

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- Recall: in the vN algebra setting, amenability is enough for classification. Not so in the  $C^*$ -setting. We **need to require regularity in addition to amenability** to avoid the counterexamples above.

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### Theorem

Simple, amenable, and regular  $C^*$ -algebras that satisfy the Universal Coefficient Theorem are classified up to isomorphism by their  $K$ -theory and traces.

This settles the central classification conjecture in the  $C^*$ -setting.

Our approach not only draws inspiration from, but has a direct connection with the classical  $vN$  classification techniques.



## EXAMPLE: CROSSED PRODUCTS

### Irrational rotation algebras

$A_\theta = C(\mathbb{T}) \rtimes_\theta \mathbb{Z}$  satisfies the hypotheses. In this case, the  $K_0$  and  $K_1$  groups are both  $\mathbb{Z}^2$ . The trace portion of the invariant singles out  $\theta$ , so that  $A_\theta \cong A_{\theta'} \Leftrightarrow \theta = \pm\theta' \pmod{\mathbb{Z}}$ .

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### More general crossed products (Kerr–Naryshkin)

The classification applies to  $C(X) \rtimes \Gamma$  if

- $X$  is a compact metric space of **finite covering dimension**
- $\Gamma \curvearrowright X$  is free
- $\Gamma$  is elementary amenable  
( $\Gamma$  is built up starting with finite or abelian groups; e.g., nilpotent groups, solvable groups, linear groups, ...)

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THANK YOU!